#### ATTY. DOCKET NO. SERIAL NO. INFORMATION DISCLOSURE CITATION 15437-0601 10/771,698 IN AN APPLICATION APPLICANT: (PTO-1449) Ozgur C. Leonard, et al. FILING DATE: GROUP: 2/3/2004 2127 **U.S. PATENT DOCUMENTS** U.S. Patent Document Name of Patentee or Applicant Date of Publication of Pages, Columns, of Cited Document Lines, Where Cited Document Kind Code<sup>2</sup> Number MM-DD-YYYY Relevant (If known) Passages or Relevant Figures Appear /EW/ 6,074,427 Fought et al. 6/13/2000

Exam.	Cite	Fo	reign Patent	Document	Name of Patentee or Applicant	Date of	Pages,	Τ
Initial*	No. <sup>1</sup>	Office <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (If known)	C Doc MN	Publication of Cited Document MM-DD- YYYY	Columns, Lines, Where Relevant Passages or Relevant Figures Appear	*
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Examiner		Date Considered	40/40/0007
1	/Eric Wai/	Date Considered	1 10/18/2007
Signature	/LIIC vvai/		

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup>Unique citation designation number. <sup>2</sup>See attached Kinds of U.S. Patent Documents. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard S.3). <sup>4</sup>For Japanese patent documents, the indication of the year of reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.

Substitute'	for Form	1449A/PTO (Modified)	Attorney Docket No.: Application Number: 15437-0601 10/771,698		
		MAY 2 1 2004 2	First Named Inventor: Ozgur C. Leonard, et al.		
	,		Filing Date: 2/3/2004		
		PADETHER ART - NO PATENT	LITERATURE DOCUMENTS		-
Examiner Initials*	Cite No <sup>1</sup>	Include name of the author (in CAPITAL LET item (book, magazine, journal, serial, symposiu	TERS), title of the article (when appropria	, · ·	Translation <sup>2</sup>
/EW/		Communications from the ACM (ISSN: 000 Operating System Approach to Securing E-ACM Copyright Notice, © 2001, (8 pgs).	Services" by Chris Dalton and Tse Hu	ong Choo,	
/EW/		Sun Microsystems, Inc. entitled "Server Vir Environment, by Glenn Faden, Sun BluePri http://www.sun.com/blueprints, (21 pgs).	nts TM OnLine – February 2002,		·
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/EW/	/EW/ IBM entitled "Partitioning for the IBM eserver pSeries 690 System, © Copyright IBM Corp. 2001 (12 pgs).				
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/EW/		Virtual Private Servers and Security Contex http://www.solucorp.qc.ca/miscprj/s_conter			
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/EW/	/EW/ Jails: Confining the omnipotent root., by Poul-Henning Kamp and Robert N.M. Watson, entitled "The FreeBSD Project", <a href="http://www.servetheweb.com/">http://www.servetheweb.com/</a> , (15 pgs).				
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Examiner	/Eric Wai/	·	Date Considered	10/18/2007
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of 2

Com	Complete if Known			
Application Number	10/771,698			
Filing Date	February 3, 2004			
First Named Inventor	Ozgur Leonard			
Art Unit	2195			
Examiner Name	Wai, Eric Charles			
Attorney Docket Number	15437-0601			

			U.S.PATENT DO	CUMENTS	
Examiner Initials*	Cite No. <sup>1</sup>	Document Number Number-Kind Code <sup>2 (I known</sup> )	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
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<u>/EW/</u>		EP 389151 A2	09-26-1990	IBM			

Examiner Signature	/Eric Wai/	Date	10/18/2007	
Signature	ł	Considered		

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Complete if Known Substitute for form 1449B/PTO Application Number 10/771,698 INFORMATION DISCLOSURE Filing Date February 3, 2004 STATEMENT BY APPLICANT First Named Inventor Ozgur Leonard 2195 (Use as many sheets as necessary) Art Unit Wai, Eric Charles **Examiner Name** Sheet 2 Attorney Docket Number 15437-0601

NON PATENT LITERATURE DOCUMENTS					
Examiner Initials*	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	Τ²		
/EW/		CZAJKOWSKI, G., "Application isolation in the Java Virtual Machine", 2000, ACM Press, Proceedings of the 15 <sup>th</sup> ACM SIGPLAN Conference on Object-Oriented Programming, Systems, Languages, and Applications, pp. 354-366.			
/EW/		CZAJKOWSKI, G., "Multitasking without compromise: a virtual machine evolution", ACM Press, Proceedings of the 16 <sup>th</sup> ACM SIGPLAN Conference on Object Oriented Programming, Systems, Languages, and Applications, dated October 2001, pp. 125-138.			
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Examiner Signature	/Eric Wai/	Date Considered	10/18/2007
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### INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Complete if Known				
Application Number	10/771,698			
Filing Date	February 3, 2004			
First Named Inventor	Ozgur Leonard			
Art Unit	2195			
Examiner Name	An, Meng Ai T			
Attorney Docket Number	15437-0601			

			U.S.PATENT DO	CUMENTS	
	Cite No.¹	Document Number Number-Kind Code <sup>2 (I known</sup> )	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
/EW/		5,155,809	10-13-1992	Baker et al.	
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	FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No.¹	Foreign Patent Document  Country Code <sup>2</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	τ°	
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/EW/	1	5,590,314	12-31-1996	Ueno et al.		
/EW/		6,681,238 B1	01-20-2004	Brice et al.		
/EW/		6,944,699 B1	09-13-2005	Bugnion et al.		
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Art Unit	2195				
Examiner Name	An, Meng Ai T				
Attorney Docket Number	15437-0601				

NON PATENT LITERATURE DOCUMENTS					
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/EW/		HEWLETT-PACKARD, "Installing and Managing HP-UX Virtual Partitions (vPars)", Third Edition, Part Number T1335-90018, Copyright Hewlett-Packard Company, November 2002, pages 1-4, 17-44, 72-75, and 157-161.			
/EW/	1	HOPE, PACO, "Using Jails in FreeBSD for Fun and Profit", ;Login: The Magazine of Usenix and Sage, Volume 27, Number 3, June 2002, 9 pages.			
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/EW/		THOMPSON, K., "UNIX Implementation", Bell Laboratories, The Bell System Technical Journal, 1978, pages 1-9.			

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	Examiner Signature	/Eric Wai/	Date Considered	10/18/2007

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheats as necessary) SEP 2:2 7006 Application Number Filing Date

10/771,698 February 3, 2004

First Named Inventor

Ozgur C. Leonard

Art Unit

2195

Examiner Name

Attorney Docket Number

An, Meng Ai T. 15437-0601

Sheet 1

of 1

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Examiner Initials*	Cite No.1	Document Number  Number-Kind Code <sup>2 (3 known</sup> )	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
/EW/		US-2002/0120660 A1	08-29-2002	Hay et al.	
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	FOREIGN PATENT DOCUMENTS					
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Examiner	/Fric Wai/	Date	10/18/2007	
Signature	/Elic vvai/	Considered	10/10/2007	

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS, SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1460.

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#### ATTY. DOCKET NO. SERIAL NO. INFORMATION DISCLOSURE CITATION 15437-0601 10/771.698 M AN APPLICATION APPLICANT: (PTO-1449) Ozgur C. Leonard et al. FILING DATE: **GROUP:** February 3, 2004 2127 **U.S. PATENT DOCUMENTS** U.S. Patent Document Name of Patentee or Applicant Date of Publication of Pages, Columns, Exam. Cite Lines, Where Cited Document Initial\* No. of Cited Document Number Kind Code<sup>2</sup> MM-DD-YYYY Relevant (If known) Passages or Relevant Figures Appear /EW/ 2002/0161817 Αl Dorofeev et al. 10-31-2002

	FOREIGN PATENT DOCUMENTS												
Exam.	Cite	Fo	reign Patent	Document	Name of Patentee or Applicant	Date of	Pages,	T <sup>6</sup>					
Initial*	No. <sup>1</sup>	Office <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (If known)	of Cited Document	Publication of Cited Document MM-DD-YYYY	Columns, Lines, Where Relevant Passages or Relevant Figures Appear						
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Signature	/Eric Wai/		10/18/2007

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<sup>&</sup>lt;sup>1</sup>Unique citation designation number. <sup>2</sup>See attached Kinds of U.S. Patent Documents. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard S.3). <sup>4</sup>For Japanese patent documents, the indication of the year of reign of the Emperor must precede the serial number of the patent document. <sup>3</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.

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Initial*		Office <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (If known)	of Cited Document	Publication of Cited Document MM-DD-YYYY	Columns, Lines, Where Relevant Passages or Relevant Figures Appear	
'EW/		EP	130076	6 A	Sun Microsystems Inc.	04-09-2003		

	OTHER ART – NO PATENT LITERATURE DOCUMENTS								
Examiner Initials*	Cite No <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	Translation <sup>2</sup>						
/EW/		EUROPEAN PATENT OFFICE, "European Search Report," application No. 04252689.7, mailing date July 28, 2005, 3 pages.							
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/EW/		SUN MICROSYSTEMS, "Sun EnterpriseTM 1000 Server: Dynamic System Domains," White Paper Online, February 26, 2003, retrieved from the internet at <a href="http://www.sun.com/servers/highend/whitepapers/domains.html?facet=-1">http://www.sun.com/servers/highend/whitepapers/domains.html?facet=-1</a> , retrieved on 06/21/2005, XP-002332946, 7 pages.							

Examiner	/Eric Wai/	Date Considered	10/19/2007
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INF		A TAN TAN	SISCLOSURE CAPPLICATION	ATTY. DOCKI 15437-0601	ET NO.		RIAL NO. /771,698	
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Exam. Initial*	Cite No.	U.S. Pate	Kind Code <sup>2</sup> (If known)	Name of Patentee of Cited Do		Date of Publicati Cited Docume MM-DD-YYY	nt	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear

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Exam.	Cite	Foreign Patent Document			Name of Patentee or Applicant	Date of	Pages,	Т6
Initia]*	No.¹	Office <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (If known)	of Cited Document	Publication of Cited Document MM-DD-YYYY	Columns, Lines, Where Relevant Passages or Relevant Figures Appear	•
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OTHER ART – NO PATENT LITERATURE DOCUMENTS							
Examiner Initials*	Cite No <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	Translation <sup>2</sup>				
/EW/		Official Action from EPO for foreign patent application no. 04 252 690.5 - 2211 dated 10 June 2005 (6 pgs) - attached.					
/EW/		Current Claims in EPO patent application no. 04 252 690.5 - 2211 (9 pgs) - attached.					

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<sup>&</sup>lt;sup>1</sup>Unique citation designation number. <sup>2</sup>See attached Kinds of U.S. Patent Documents. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard S.3). <sup>4</sup>For Japanese patent documents, the indication of the year of reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.

# ATION DISCLOSURE CITATION SAN AN APPLICATION

(PTO-1449)

ATTY. DOCKET NO.
15437-0601

APPLICANT:
Ozgur C. Leonard et al.

FILING DATE:
February 3, 2004

SERIAL NO.
10/771,698

GROUP:
2127

	U.S. PATENT DOCUMENTS												
Exam. Initial*	Cite No.1	U.S. Patent	Document	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document	Pages, Columns, Lines, Where							
Minual		Number	Kind Code <sup>2</sup> (If known)	of cited becamen	MM-DD-YYYY	Relevant Passages or Relevant Figures Appear							

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Examiner	Cite	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the	Translation <sup>2</sup>
Initials*	No <sup>1</sup>	item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	Translation
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FILING DATE: February 3, 2004

**GROUP**: 2127

Exam.	Cite No. <sup>1</sup>	U.S. Patent	Document	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
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/EW/		European Search Report from the European Patent Office for Foreign Patent Application No. 04252690.5 (3 pgs.)				
/EW/		Claims As Filed in European Patent Application No. 04252690.5 (6 pgs.)				

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